



2023 Plan Sponsor Fiduciary Summit

MAY 23, 2023

10-10:30 AM

Welcome, Registration & Networking

10:30-11:15 AM

Fiduciary 101

Description: Learn the basics of being a fiduciary and how to help manage responsibility and liability.

Presenters: Cindy Lande, BrownWinick Attorney and Eric Obergfell, Strategic Retirement Partners

11:15-12:00 PM

Legislative/Regulatory Update

Description: Learn how recent and pending legislation may impact your plan and recent retirement plan litigation.

- Secure 2.0
- DOL/SEC rollover regs
- ESG Regulation
- DOL 5500 Changes

Presenters: Eric Obergfell, Strategic Retirement Partners and Cindy Lande, BrownWinick Attorney

12:00-12:30 PM

Industry Trends

Description: Record keeping consolidation and challenges, advisor firm trends, audit firm trends, impact of market cycle changes.

Presenters: Eric Obergfell, Strategic Retirement Partners and Dan Montgomery, Forge



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12:30-1:00 PM

Lunch Break

1:00-1:45 PM

Audit: Best Practices

Description: Learn when your plan will require an annual audit, common operational errors, best practices for error prevention and how to correct errors when they occur.

- Audit RFP
- Operational Errors
- 2022 Audit Cycle - Take Away Trends

Presenters: Cindy Lande, BrownWinick Attorney and Dan Montgomery, Forge

1:45-2:15 PM

Plan Administration: Best Practices

Description: Meeting/documentation best practices, including delegation of authority, documentation, committees, etc.

Presenter: Eric Obergfell, Strategic Retirement Partners

2:15-2:45 PM

Employee Engagement (Education)

Description: Learn about what employees say they need from you as their employer and review effective ways to meet them where they are.

Presenter: Eric Obergfell, Strategic Retirement Partners

2:45-3:00 PM

Q&A/ Wrap Up